

# CHARGES FOR HONG KONG SECURITIES TRADING 港股佣金及收費

ITEMS 服務項目	COMMISSION & SERVICE CHARGES 收費	REMARKS 備註
<b>(A) Securities Trading</b> 證券交易服務		
Phone Trading Commission*** 電話交易佣金***	Negotiable 面議	On gross trade amount 按交易金額計算(大額面議)
Online Trading Commission*** - Orders placed via Internet - Orders placed via iPhone/Android App 電子系統交易佣金*** - 經互聯網落盤 - 經 iPhone/Android 交易系統落盤	Negotiable 面議	On gross trade amount 按交易金額計算(大額面議)
Trading Platform Fee 交易系統使用費	HKD 0.5 per trade 每筆交易收費港幣 0.5 元	
Trading Fee (HKEx) 交易費 (港交所)	0.005%	On gross trade amount 按交易金額計算
Transaction Levy (SFC) 交易徵費 (證監會)	0.0027%	On gross trade amount 按交易金額計算
Settlement Fee***  中央結算系統股份交收費	0.002% (collected by HK Clearing) *Minimum charge HKD 2 per execution *Maximum charge HKD 100 per execution  0.002% (代香港結算所收取) ; 每筆交易最低收取港幣 2 元 每筆交易最高收取港幣 100 元	On gross trade amount  按交易金額計算
Stamp Duty 印花稅	HKD 1 per thousand dollar  每港幣(或等值港幣)1 千元成交金額收取港幣 1 元	Round up to the nearest thousand 成交金額不足港幣(或等值港幣)1 千元,作港幣 1 千元 計算
Italian Financial Transaction Tax (For buying shares issued by the companies resident in Italy) 意大利金融交易稅 (買入有關常駐意大利公司發行份)	0.2%	Daily net purchased shares x weight average purchasing price x 0.2% 當日淨買入股數 x 當日加權平均買入價 x 稅率 (0.2%)
<b>(B) Physical Scrip Handling &amp; Settlement Services</b> 處理實物股票及交收服務		
Physical Stock Deposit 實貨存入	每張股票/每張轉手紙厘印費港幣 5 元	
Physical Stock Withdrawal 實貨提取	HKD 5 per board lot and per stock; min HKD 50 每隻每手港幣 5 元, 最低港幣 50 元	Round up to the nearest board lot 不足 1 手,作 1 手計算
SI instruction-Delivery 交收指示提取	HKD 5 per board lot and per stock; min HKD 50 每隻每手港幣 5 元, 最低港幣 50 元	Round up to the nearest board lot 不足 1 手,作 1 手計算
SI instruction-Received 交收指示存入	Free 免費	
Investor SI instruction Delivery 投資者交收指示提取	0.01% of previous market value (Minimum HKD 80) 按每支股票交易總值的 0.01% (以上日收市 價計) (最低收費港幣 80 元)	
Investor SI instruction Received 投資者交收指示存入	Free 免費	

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<b>(C) Nominee Services &amp; Corporate Actions</b> 證券交易服務		
Scrip Fee 登記過戶費	HKD 2.5 per board lot through CCASS 每手港幣 2.5 元,如經中央結算系統	
Collection of Dividend Payment 代收現金股息費	0.5% on dividend payment (Minimum HK\$20) + CCASS charge (Dividend payment x 0.12%) 按股息金額 0.5%計算(最低港幣 20 元) + 中央結算收費(股息總額 x0.12%)	On gross dividend amount 按股息金額計算
Collection of Bonus shares 代收紅股費	HKD 20 per stock 每支股票收費港幣 20 元	
Received subscribed shares 代收供股權收費	Waived 免費	
Callable Bull/Bear Contracts residual value/ exercising covered warrants 代收牛熊證剩餘價值/行使備兌認股證費	Handling charge HKD 30 手續費港幣 30 元	
Share Split/ Consolidation/ Voting 處理股票拆細、合併、公司投票費	Waived 免費	
Rights Subscription 代客行使供股權費	HKD 100 for each subscription + CCASS charge (HKD 0.80 per lot) 每次收費港幣 100 元+結算公司收費(每手收港幣 0.8 元)	
Additional Rights Subscription 申請額外供股權費	HKD 100 for each subscription + CCASS charge (HKD 0.80 per lot) 每次收費港幣 100 元+結算公司收費(每手收港幣 0.8 元)	
Warrant Exercise/ Privatization/ Share offer 行使認股權證、公司私有化、收購費	HKD 100 for each subscription + CCASS charge (HKD 0.80 per lot) 每次收費港幣 100 元+結算公司收費(每手收港幣 0.8 元)	
Transfer of share ownership in Share registration 在股份登記處辦理轉名費	HKD 5 per board lot +handling fee HKD 200 on each stock 每手港幣 5 元, 另每支股票收手續費港幣 200 元	
Nominee Service & Corporate Actions*** 代理人服務及企業行動***	HKD 100 handling fee per stock per request andHKD 0.80 per board lot collected by HK Clearing 每隻股票每次手續費港幣 100 元, 香港結算收取每手港幣 0.80 元	
<b>(D) IPO Subscription Services</b> 新股認購服務		
New Issues*** 認購新股手續費	HKD 50 港幣 50 元	

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<b>(E) Other Account Services</b> 其他帳戶服務		
Cash Withdrawal Fee 提款收費	Cheque – Waived local transfer (CHATS) – HKD 150 Telegraphic transfer (TT) – HKD 200 支票 – 免費 銀行跨行即時轉款(CHATS) – HKD 150 海外電匯(TT) – HKD 200	
Return Cheque 退票費用	HKD 100 per transaction 每次港幣 100 元	
Real Time Quote : 即時股票報價::		
DZH (Real Time Quote) 大智慧網上股票交易報價+手機版(串流)	HKD 388 港幣 388 元	HKD 1,000 deposit plus 1 month advance payment for application of the service. Payable in advance each month and calculated on a monthly basis. (** Commission rebate for monthly charges HKD 2,000 or above) 申請時需先預繳按金 HKD 1,000 及 1 個月月費。每月預先繳付，以一個月計算(如每月交易佣金達港幣 2,000 元或以上, 可獲當月的相關報價費用回贈)
Re-print Daily/Monthly Statement 重印成交單/日結單/月結單	Waive if statement date within 3 months (HKD 100 if statement date over 3 months) 於 3 個月之內可免費重印 (超過 3 個月每份收取港幣 100 元)	
Custody Fee 託管費	Waived 豁免	
Dormant Account Fee 不動戶口服務費	Waived 豁免	
Administration fee for receiving daily / monthly statement by mail 郵寄形式收取日/月結單行政費	HKD 120 per month 每月港幣 120 元	Apply to internet clients only 只限網上戶口
Handling Charge for Reference Letter 額外要求提供證明文件行政費	HKD 100 每份港幣 100 元	

\* The charges above are for reference only. Our company reserves the rights to adjust charges above without prior notice.  
 以上收費及息率資料僅供參考，本公司有權隨時調整以上收費及息率，而毋須另行通知。

\*\*\* Fees are charged on the basis of settlement currency  
 相關收費以結算貨幣計算。