

# HONG KONG SECURITIES TRADING FEES & CHARGES

Effective from 1 January 2023

A member of Blackwell Global Holdings Limited

Items	Charges	Min.Charge	Max.Charge	Remarks
Hong Kong Securities				
Phone Trading Commission	Negotiable	-	-	Chared on gross trade amount
Online Trading Commission <sup>(1)</sup> (Orders placed via Internet / iOS / Android)	0.15% of transaction amount	HKD100	-	Negotiable
Trading Fee <sup>(2)</sup>	0.00565% of transaction amount	-	-	Collected by HKEX
Transaction Levy <sup>(2)</sup>	0.0027% of transaction amount	-	-	Collected by SFC
Transaction Levy <sup>(2)</sup>	0.00015% of transaction amount	-	-	Collected by FRC
Settlement Fee	0.002% of transaction amount	HKD2 per execution	HKD100	-
Stamp Duty <sup>(1)(2)</sup>	0.13% of transaction amount	-	-	<ul style="list-style-type: none"><li>● Round up to the nearest 1 dollar</li><li>● Collected by HKSAR Government</li></ul>
Italian Financial Transaction Tax <i>(For buying shares issued by the companies resident in Italy)</i>	0.2% of transaction amount	<ul style="list-style-type: none"><li>● Daily net purchased shares x weight average purchasing price x 0.2%.</li><li>● Collected by Italian tax authorities</li></ul>		
Physical Scrip Handling &				
Physical Stock Deposit	HKD5 per stock per transaction	-	-	-
Physical Stock Withdrawal	HKD5 per lot per stock	HKD50	-	Round up to the nearest lot
SI Instruction-Delivery	HKD5 per lot	HKD50	-	Round up to the nearest lot
SI Instruction-Received	Waived	-	-	-
Investor SI Instruction Delivery	0.01% per stock per transaction amount	HKD80	-	Based on previous market value
Investor SI Instruction Received	Waived	-	-	-
Transfer Deed Stamp Duty	HKD5 per each transfer deed	-	-	Collected by HKSAR Government
Nominee Services & Corporate Actions				
Scrip Fee	HKD2 per lot through CCASS	-	-	-
Collection of Divident Payment	<ul style="list-style-type: none"><li>● 0.5% on dividend payment</li><li>● CCASS charge (Dividend payment x 0.12%)</li></ul>	HKD20	-	-
Collection of Bonus Shares	HKD20 per stock	-	-	-
Receipt of Subscribed Shares	Waived	-	-	-
Callable Bull/Bear Contracts Residual Value / Exercising Covered Warrants	HKD30	-	-	-
Share Split / Consolidation / Voting	Waived	-	-	-
Rights Subscription	<ul style="list-style-type: none"><li>● HKD100 per subscription</li><li>● CCASS charge(<i>HKD0.8 per lot</i>)</li></ul>	-	-	-
Additional Rights Subscription	<ul style="list-style-type: none"><li>● HKD100 per subscription</li><li>● CCASS charge(<i>HKD0.8 per lot</i>)</li></ul>	-	-	-
Warrant Exercise / Privatisation / Share Offer	<ul style="list-style-type: none"><li>● HKD100 per subscription</li><li>● CCASS charge(<i>HKD0.8 per lot</i>)</li></ul>	-	-	-
Transfer of Share Ownership in Share Registration	<ul style="list-style-type: none"><li>● HKD5 per lot</li><li>● HKD200 per stock</li></ul>	-	-	-
Nominee Service & Corporate Actions <sup>(1)</sup>	<ul style="list-style-type: none"><li>● HK\$100 per stock per request</li><li>● HK\$0.8 per lot collected by HK Clearing</li></ul>	-	-	-

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<b>IPO Subscription Services</b>				
Application of Newly-listed Securities <sup>(1)</sup>	HK\$50 per application	-	-	-
<b>Other Account Services</b>				
Cash Withdrawal	<ul style="list-style-type: none"> <li>Cheque - Waived</li> <li>Local transfer (CHATS) - HKD150 + Bank charge</li> <li>Telegraphic transfer (TT) - HKD220 + Bank charge</li> </ul>	-	-	-
Returned Cheque	HK\$100 per transaction	-	-	-
Real Time Quote - Blackwell Global TradeGo	HKD388	-	-	HK\$1,000 deposit + 1 month advance payment for application of the service. Payable in advance each month and calculated on a monthly basis. (If monthly commission is HK\$2,000 or above, the relevant fee may be refunded.)
Re-print Account Statement	<ul style="list-style-type: none"> <li>Waived (statement within 3 months)</li> <li>HKD100 (statement over 3 months)</li> </ul>	-	-	-
Custody Fee	Waived	-	-	-
Dormant Account Fee	Waived	-	-	-
Daily / Monthly Statement by post mail	HKD120 per month	-	-	Applicable to online clients only
Reference Letter	HKD200 per copy	-	-	-
Audit Confirmation	HKD200 per account			

Notes:

- (1) Fees are charged based on transaction currency.
  - (2) Fees and charges determined and collected by the Government of the HKSAR, Securities and Futures Commission "SFC", HKEx or Financial Reporting Council "FRC" are subject to change without prior notice.
- Odd lot is treated as one board lot in the calculation of fees and charges.
  - The above charges are for reference only. Blackwell Global Securities Limited reserves the right to revise any charges, special offers and other services fee from time to time.
  - Charges for specific services and out-of-pocket expenses may not be listed in the above table. For details, please contact our staff.