

HONG KONG SECURITIES TRADING FEES & CHARGES

Effective from 1 September 2021

A member of Blackwell Global Holdings Limited

Items	Charges	Remarks
Hong Kong Securities Trading		
Phone Trading Commission	Negotiable	On gross trade amount
Online Trading Commission*** • Orders placed via Internet • Orders placed via iOS/Android App	Negotiable	On gross trade amount
Trading Platform	HK\$0.5 per trade	–
HKEX Trading Fee	0.005% on gross amount	On gross trade amount
SFC Transaction Levy	0.0027% on gross amount	On gross trade amount
Settlement Fee***	0.002% (collected by HK Clearing) <i>Minimum charge HK\$2 per execution</i> <i>Maximum charge HK\$100 per execution</i>	On gross trade amount
Stamp Duty	0.13% of transaction amount	Round up to the nearest 1 dollar
Italian Financial Transaction Tax <i>(For buying shares issued by the companies resident in Italy)</i>	0.2% of transaction	Daily net purchased shares x weight average purchasing price x 0.2%
Physical Scrip Handling & Settlement Services		
Physical Stock Deposit	HK\$5 per transfer deed	–
Physical Stock Withdrawal	HK\$5 per board lot and per stock <i>(Minimum charge HK\$50)</i>	Round up to the nearest board lot
SI Instruction-Delivery	HK\$5 per board lot and per stock <i>(Minimum charge HK\$50)</i>	Round up to the nearest board lot
SI Instruction-Received	Free of charge	–
Investor SI Instruction Delivery	0.01% of previous market value <i>(Minimum charge HK\$80)</i>	–
Investor SI Instruction Received	Free of charge	–
Nominee Services & Corporate Actions		
Scrip Fee	HK\$2 per board lot through CCASS	–
Collection of Dividend Payment	0.5% on dividend payment (Minimum HKD20) + CCASS charge (Dividend payment x 0.12%)	On gross dividend account
Collection of Bonus Shares	HK\$20 per stock	–
Receive Subscribed Shares	Free of charge	–
Callable Bull/Bear Contracts Residual Value / Exercising Covered Warrants	HK\$30 handling charge	–
Share Split / Consolidation / Voting	Free of charge	–
Rights Subscription	HK\$100 per subscription + CCASS charge <i>(HK\$0.80 per lot)</i>	–
Additional Rights Subscription	HK\$100 per subscription + CCASS charge <i>(HK\$0.80 per lot)</i>	–
Warrant Exercise / Privatisation / Share Offer	HK\$100 per subscription + CCASS charge <i>(HK\$0.80 per lot)</i>	–
Transfer of Share Ownership in Share Registration	HK\$5 per board lot + HK\$200 handling fee per stock	–
Nominee Service & Corporate Actions***	HK\$100 handling fee per stock per request + HK\$0.8 per board lot collected by HK Clearing	–

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IPO Subscription Services		
New Issues***	HK\$50 handling fee	–
Other Account Services		
Cash Withdrawal	Cheque - Waived Local transfer (CHATS) - HK\$150 + Bank charge Telegraphic transfer (TT) - HK\$200 + Bank charge	–
Returned Cheque	HK\$100 per transaction	–
Real Time Quote - Blackwell Global TradeGo	HK\$388	<ul style="list-style-type: none"> • HK\$1,000 deposit + 1 month advance payment for application of the service. • Payable in advance each month and calculated on a monthly basis. (If monthly commission is HK\$2,000 or above, the relevant fee may be refunded.)
Re-print Account Statement	<ul style="list-style-type: none"> • Free of charge (statement within 3 months) • HK\$100 (statement over 3 months) 	–
Custody Fee	Free of charge	–
Dormant Account Fee	Free of charge	–
Daily / Monthly Statement by post mail	HK\$120 per month	Applicable to internet clients only
Handling Charge for Reference Letter	HK\$100	–

* All fees and charges above are for reference only and subject to change without prior notice.

*** All fees are charged on the basis of settlement currency.