

# CHARGES FOR HONG KONG SECURITIES TRADING

## 港股佣金及收費

ITEMS 服務項目	COMMISSION & SERVICE CHARGES 收費	REMARKS 備註
<b>(A) Securities Trading</b> 證券交易服務		
Online Trading Commission*** - Orders placed via Internet - Orders placed via iPhone/Android App 電子系統交易佣金*** - 經互聯網落盤 - 經iPhone/Android交易系統落盤	0.15% or Minimum HK\$80 per transaction 0.15%或每宗交易最低收費港幣80元	On gross trade amount 按交易金額計算(大額面議)
Phone Trading Commission*** 電話交易佣金***	0.25% or Minimum HK\$100 per transaction 0.25%或每宗交易最低收費港幣100元	On gross trade amount 按交易金額計算(大額面議)
Trading Fee (HKEx) 交易徵費 (港交所)	0.005%	On gross trade amount 按交易金額計算
Transaction Levy (SFC) 交易徵費 (證監會)	0.0027%	On gross trade amount 按交易金額計算
Settlement Fee*** 中央結算系統股份交收費	0.006%(including 0.002% collected by HK Clearing) ; max. HKD200 0.006% (當中0.002%代香港結算收取) ; 最高港幣200元	On gross trade amount 按交易金額計算
Stamp Duty 印花稅	HKD1 per thousand dollar 每港幣(或等值港幣)1千元成交金額收取港幣1元	Round up to the nearest thousand 成交金額不足港幣(或等值港幣)1千元,作港幣1千元 計算
Italian Financial Transaction Tax (For buying shares issued by the companies resident in Italy) 意大利金融交易稅 (買入有關常駐意大利公司發行份)	0.2%	Daily net purchased shares x weight average purchasing price x 0.2% 當日淨買入股數x當日加權平均買入價x稅率(0.2%)
<b>(B) Physical Scrip Handling &amp; Settlement Services</b> 處理實物股票及交收服務		
Physical Stock Deposit 實貨存入	HK\$5 per certificate/ for each transfer deed 每張股票/每張轉手紙厘印費港幣5元	
Physical Stock Withdrawal 實貨提取	HKD5 per board lot and per stock; min HKD50 每隻每手港幣5元, 最低港幣50元	Round up to the nearest board lot 不足1手, 作1手計算
SI instruction-Delivery 交收指示提取	HKD5 per board lot and per stock; min HKD50; max. HKD300 每隻每手港幣5元, 最低港幣50元, 最高港幣300元	Round up to the nearest board lot 不足1手, 作1手計算
SI instruction-Received 交收指示存入	Free 免費	
Investor SI instruction Delivery 投資者交收指示提取	0.01% of previous market value (Minimum HKD30); max. HKD300 按每隻股票交易總值的0.01% (以上日收市 價計) (最低收費港幣30元), 最高港幣300元	
Investor SI instruction Received 投資者交收指示存入	Free 免費	

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<b>(C) Nominee Services &amp; Corporate Actions</b> 證券交易服務		
Scrip Fee 登記過戶費	HKD 2 per board lot through CCASS 每手港幣2元,如經中央結算系統	
Collection of Dividend Payment 代收現金股息費	0.5% on dividend payment (Minimum HK\$20) + CCASS charge (Dividend payment x 0.12%) 按股息金額0.5%計算(最低港幣20元) +中央結算收費(股息總額 x0.12%)	On gross dividend amount 按股息金額計算
Collection of Bonus shares 代收紅股費	HK\$20 per stock 每隻股票收費港幣20元	
Received subscribed shares 代收供股權收費	Waived 免費	
Callable Bull/Bear Contracts residual value/ exercising covered warrants 代收牛熊證剩餘價值/行使備兌認股證費	Handling charge HK\$30 手續費港幣30元	
Share Split/ Consolidation/ Voting 處理股票拆細、合併、公司投票費	Waived 免費	
Rights Subscription 代客行使供股權費	HK\$100 for each subscription + CCASS charge (HK\$0.80 per lot) 每次收費港幣100元+結算公司收費(每手收 港幣0.8元)	
Additional Rights Subscription 申請額外供股權費	HK\$100 for each subscription + CCASS charge (HK\$0.80 per lot) 每次收費港幣100元+結算公司收費(每手收 港幣0.8元)	
Warrant Exercise/ Privatization/ Share offer 行使認股權證、公司私有化、收購費	HK\$100 for each subscription + CCASS charge (HK\$0.80 per lot) 每次收費港幣100元+結算公司收費(每手收 港幣0.8元)	
Transfer of share ownership in Share registration 在股份登記處辦理轉名費	HK\$5 per board lot +handling fee HK\$200 on each stock 每手港幣5元, 另每隻股票收手續費港幣 200	
Nominee Service & Corporate Actions*** 代理人服務及企業行動***	HKD100 handling fee per stock per request andHKD0.80 per board lot collected by HK Clearing 每隻股票每次手續費港幣100元, 香港結算收取每手港幣0.80元	
<b>(D) IPO Subscription Services</b> 新股認購服務		
New Issues*** 認購新股手續費	HKD 100 港幣100元	
Yellow Application Form 新股黃色申請表	HKD 100 港幣100元	

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<b>(E) Other Account Services</b> 其他帳戶服務		
Cash Withdrawal Fee 提款收費	Cheque – Waived local transfer (CHATS) – HKD\$150 Telegraphic transfer (TT) – HKD\$200 支票 – 免費 銀行跨行即時轉款(CHATS) – HKD\$150 海外電匯(TT) – HKD\$200	
Return Cheque 退票費用	HK\$300 per transaction 每次港幣300元	
Real Time Quote : 即時股票報價::		
DZH (Real Time Quote) 大智慧網上股票交易報價+手機版(串流)	HKD 388 港幣388元	HKD 1,000 deposit plus 1 month advance payment for application of the service. Payable in advance each month and calculated on a monthly basis. (* Commission rebate for monthly charges HKD 2,000 or above) 申請時需先預繳按金HK\$1,000及1個月月費。每月 預先繳付，以一個月計算(如每月交易佣金達港幣2,000元或以上，可獲當月的相關報價費用回贈)
Re-print Daily/Monthly Statement 重印成交單/日結單/月結單	Waive if statement date within 3 months (HK\$200 if statement date over 3 months) 於3個月之內可免費重印 (超過3個月每份港幣200元)	
Audit Confirmation 審計證明書畫	HKD 200 per account 每個戶口 - 港幣200元	
Custody Fee 託管費	Waived 豁免	
Dormant Account Fee 不動戶口服務費	Waived 豁免	
Administration fee for receiving daily / monthly statement by mail 郵寄形式收取日/月結單行政費	HKD 120 per month 每月港幣120元	Apply to internet clients only 只限網上戶口
Handling Charge for Reference Letter 額外要求提供證明文件行政費	HKD 200 每份港幣200元	
Transfer Deed Fee 股份轉讓	HKD5 per Deed 每張轉讓書收取 - 港幣5元	

\* The charges above are for reference only. Our company reserves the rights to adjust charges above without prior notice.  
 以上收費及息率資料僅供參考，本公司有權隨時調整以上收費及息率，而毋須另行通知。

\*\*\* Fees are charged on the basis of settlement currency  
 相關收費以結算貨幣計算。